



EHP Release Notes

Version 1.9

26 March 2021

Contents

Reference:

1	General information	3
2	Improvements	3
2.1	General improvements	3
2.1.1	Interim saving of views when returning to last table view after single view	3
2.1.2	Upgrade for sending enclosures with files in XLSM format	3
2.2	Improvements in the “Surveys” area	3
2.2.1	Optimisation of reports (only applies to insurance companies)	3
2.2.2	The “Download XML data format” function can still be used after submitting a survey (only applies to insurance companies)	4
2.3	Improvements in the “Applications/reports” area	4
2.3.1	Provision of contact details for queries about surveys and reports	4
2.3.2	Institution name displayed for the SO reports (concerns the checklists for the supervisory organisations)	4

1 General information

Reference:

FINMA's survey and application platform (EHP for short) is developed on an ongoing basis in order to take account of different user needs. Any major changes and corrections are bundled together in the form of releases. Between three and four releases of this kind are installed every year. The following points highlight the changes associated with the latest release.

2 Improvements

2.1 General improvements

2.1.1 Interim saving of views when returning to last table view after single view

For filtered table views it is now possible to return directly to the filtered view after accessing a detailed view. The filter criteria now remain in place, thus simplifying navigation within the EHP.

2.1.2 Upgrade for sending enclosures with files in XLSM format

It is now possible to send Excel files in XLSM format in the enclosures. For security reasons, however, the submission of Excel files in XLSM format remains disabled. Uploading Excel attachments is still only possible in XLSX format.

2.2 Improvements in the "Surveys" area

2.2.1 Optimisation of reports (only applies to insurance companies)

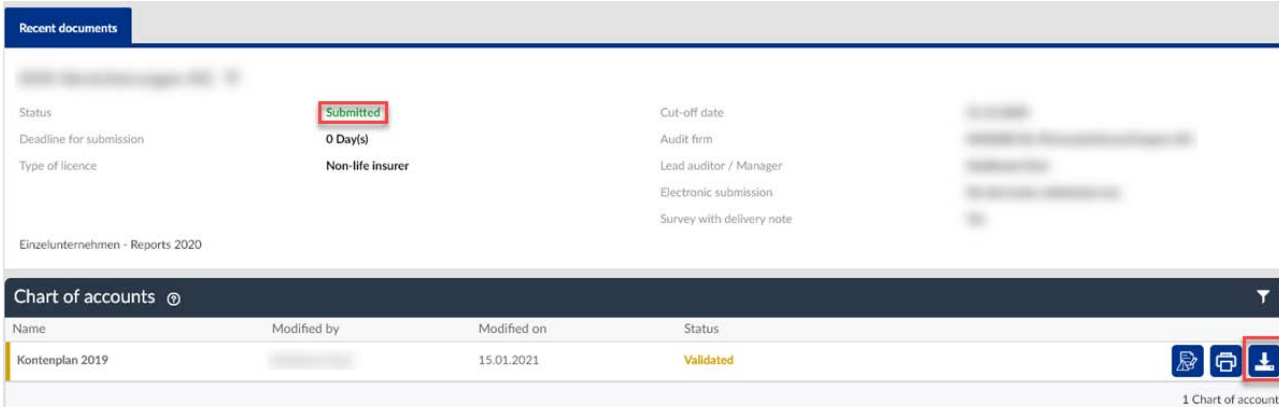
Several adjustments have been made to the reports for the annual survey of insurance companies.

- In the reports it is now visible (Excel and PDF) which fields are declared as a total (Σ), including colour highlighting (bold).
- Furthermore, the titles (T) are now visible in the reports (Excel and PDF).

2.2.2 The “Download XML data format” function can still be used after submitting a survey (only applies to insurance companies)

Reference:

The institutions can now download their own data in XML format in the EHP even after submitting a chart of accounts-based survey for insurance companies. The “Download XML data format” function now remains available after a survey has been submitted:



The screenshot shows the EHP interface. The top section is titled 'Recent documents' and displays details for a document: 'Status' is 'Submitted' (highlighted in red), 'Deadline for submission' is '0 Day(s)', and 'Type of licence' is 'Non-life insurer'. Below this, it says 'Einzelunternehmen - Reports 2020'. The bottom section is titled 'Chart of accounts' and contains a table with the following data:

Name	Modified by	Modified on	Status
Kontenplan 2019		15.01.2021	Validated

At the bottom right of the table, there are three icons: a document icon, a print icon, and a download icon (highlighted in red). The text '1 Chart of accounts' is visible at the bottom right of the interface.

2.3 Improvements in the “Applications/reports” area

2.3.1 Provision of contact details for queries about surveys and reports

Like for the surveys, the “Contact” field is now also displayed for reports and applications in the area to the side of the application/report overview. This will ensure that any questions concerning the submission can be addressed directly to the correct person.

2.3.2 Institution name displayed for the SO reports (concerns the checklists for the supervisory organisations)

For the supervisory organisations, the name of the institution for which the report is being created is now displayed in the list and the detailed view of the reports (checklists) and no longer the name of the supervisory organisation as in the past.